

Conference of Chief Compliance Officers

The objective of the conference is to have a dialogue between the Regulator/ Supervisor and Chief Compliance Officers of banks on the key aspects of their role in ensuring compliance, with special emphasis on Risk Based Supervision.

Date: August 27, 2014 **Venue:** Conference Hall II, 15th Floor, Reserve Bank of India, Central Office, Mumbai

Time	Topic	Speaker
9:30 – 9:35 AM	Inaugural Address	G Gopalakrishna Director, CAFRAL
9:35 – 10:00 AM	Key Note Address - Significance of Compliance Functions in banks – management perspective	S S Mundra Deputy Governor, RBI
10:00 – 10:45 AM	Compliance issues in regulation and supervision of banks – supervisory perspective emerging from AFI/RBS	P R Ravi Mohan CGM-in-Charge, Department of Banking Supervision, RBI
10:45 – 11:45 AM	Issues and challenges in Forensic Audit - investigative interviewing techniques for audit and compliance officers	Moody's Analytics
11:45 AM – 12:45 PM	Compliance in the light of global changes in regulations across jurisdictions and JPM's own experience	Peter Monaci Regional Compliance Head (Asia Pacific), JPMorgan Chase
12:45 – 1:30 PM	The dilemma of compliance heads and teams- a compliance officer's perspective	Panel Discussion to address compliance related issues arising in public sector / private sector/ foreign banks Panelists: G Gopalakrishna, Director, CAFRAL Rana Kapoor, CEO, Yes Bank P R Ravi Mohan, CGM-in-Charge, Department of Banking Supervision, RBI
1:30 – 1.45 PM	Wrap up session	Director, CAFRAL