

Conference of Chief Compliance Officers

December 17-18, 2018



Program Objective

The Basel Committee on Banking Supervision observes that “Compliance concerns everyone within the bank and should be viewed as an integral part of the bank’s business activities. Compliance starts at the top. It will be most effective in a corporate culture that emphasises standards of honesty and integrity and in which the board of directors and senior management lead by example. A bank should hold itself to high standards when carrying on business, and at all times strive to observe the spirit as well as the letter of the law. Failure to consider the impact of its actions on its shareholders, customers, employees and the markets may result in significant adverse publicity and reputational damage, even if no law has been broken.”

Non-compliance can entail legal or regulatory sanctions, material financial loss, or loss to reputation as a result of failure to comply with laws, regulations, rules, related self-regulatory organisation standards, and codes of conduct applicable to its activities.

The Program will provide a platform for exchange of views regarding compliance related issues in the national and international context.

Program Highlights

Some of the key topics to be covered in the Program are the following:

- Supervisory Perspective on Compliance
- Complying with Customer Protection Guidelines
- Complying with KYC and AML Guidelines
- Role of Technology in Effective Compliance
- International Developments on Regulatory Compliance
- Enforcement Actions by RBI for Non-compliance
- Building Compliance and Risk Culture – Industry perspective from a Foreign Bank, a Private Sector Bank and a Public Sector Bank.

Participant Profile

The Conference is especially designed for the Chief Compliance Officers and Heads of Risk Management and Internal Audit.

About the Venue

The conference will be held at Salcette I & II, Taj Lands’ End, Bandra, Mumbai

Program Conditions

- Program fee is payable before the program.
- Nomination may be cancelled up to seven working days before the program.
- Organisation may depute another officer for the program, if the nominated person cannot attend the program.
- Program fees will not be refunded, if the nomination is cancelled within seven days of the program.

Date: December 17-18, 2018
(2 days)

Time: 9:30 AM to 5:30 PM

Venue: Salcette I & II, Taj Lands’ End, Bandra (W), Mumbai

Type: Non-residential program

Fee: Rs. 40,000/- + @18% GST

Seats: 50 seats only on first come first serve basis

Last Date for Filing Nominations:
10 December 2018

Nominations now open

For Nomination Form please visit our site www.cafral.org.in Or click on the link below:

<http://cafral.org.in/CCOs>

For more details, contact:

SENIOR PROGRAM DIRECTOR

Amarendra Mohan

Tel: +91 22 26571020

Mob: +91 9820349455

Email: amarendra.cafral@rbi.org.in

PROGRAM OFFICER

Vinita Jain

Tel: +91 22 2657 1019

Mob: +91 98928 83647

Email: vinita.cafral@rbi.org.in

Centre for Advanced Financial Research and Learning (CAFRAL)

C-8 / 8th Floor, RBI Building,
BKC, Bandra (E), Mumbai – 400 051

www.cafral.org.in