

Conference of Chief Compliance Officers November 27-28, Mumbai



Conference Objective

The role of compliance function in banks is becoming more complex and demanding. The regulatory and supervisory expectations are rising and the business units within the bank are focusing on cost reduction and value addition. On the one hand, FinTech is disrupting businesses and on the other, RegTech is enabling banks to ensure better compliance. Globally, Supervisory Agencies are using SupTech and Big Data for data collection and data analytics to support supervision. The Program will focus on recent national and international developments and will provide a platform for exchange of views in the compliance area and the use of technology for compliance as well as supervisory purposes.

Conference Highlights

Some of the key topics to be covered in the Program are the following:

- Governance, Risk and Compliance Frameworks
- Compliance Function in the age of FinTech and RegTech
- RBI's Expectations regarding Effective Compliance in Banks
- Customer Protection Guidelines - managing customer complaints
- Enforcement Actions by RBI for Non-compliance
- Compliance and Risk Culture – Industry perspectives

Participant Profile

The Conference is especially designed for the Chief Compliance Officers and other senior functionaries in Compliance and Internal Audit.

Conference Conditions

- Conference fee is payable before the conference.
- Conference fees will not be refunded, if the nomination is cancelled within seven working days of the Conference.
- Organisation may depute another officer for the Conference, if the nominated person cannot attend the Conference.

Date: November 27-28, 2019

Time: 9:00 AM to 5:30 PM

Venue: Rendezvous, Taj Mahal Palace, Apollo Bunder, Mumbai

Type: Non- Residential program

Fee: Rs.40,000/- + @18% GST

Nominations now open
For filing online nominations, please
click on the link below

<http://cafral.org.in/Upcoming-Programs.aspx>

For more details, contact:

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