

Programme on KYC/AML for Senior Executives of Banks, NBFCs and FIs

Background:

The Prevention of Money-Laundering Act, 2002 (PMLA) and the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 (PMLA Rules), form the legal framework on Anti-Money Laundering (AML) and Countering Financing of Terrorism (CFT) which are required to be adhered to by the Regulated Entities (REs). A comprehensive KYC-AML framework is a bulwark to prevent the financial system from being misused in money laundering and other unlawful activities. India, being a member of FATF, is committed to uphold the global standards for prevention of Money Laundering (ML) / Terrorist Financing (TF) and protect the integrity of global financial system. REs must have a robust, efficient and sound KYC-AML framework which has to be updated periodically, as part of their business strategy and minimum governance standards. The Boards of REs should have proper oversight on the KYC-AML framework and ensure that effective KYC-AML policies, procedures, systems and controls are in place, properly aligned with the regulatory guidelines, to ensure strict compliance with KYC-AML requirements.

Objectives:

This programme seeks to sensitize the senior executives of REs about the risk and strategic issues which need to be factored in the KYC-AML framework and address the challenges in respect of regulatory compliance. There will also be a discussion on extant regulations, and recent changes to the KYC & AML regulations including the requisite management oversight on systems & controls and for operational resilience.

Programme Highlights:

- KYC & AML Risk Management Framework: Regulatory Perspective
- Role of KYC – AML in preventing Financial Crimes
- Supervisory Observations and Expectations on KYC and AML Compliance
- DPDP Act: Implementational Challenges
- Central KYC Registry & Compliance – Developments, Issues and Challenges
- KYC-AML/CFT from FIU perspective
- Trade Based Money Laundering (TBML)
- Use of Advanced Technologies in combating AML & CFT
- Third Party and Outsourcing Risk Mitigations
- Operational Resilience – 24/7/365

Programme Conditions

- Programme fees must be paid before the program.
- Institutions may depute another officer if the nominated officer is unable to attend.
- Nomination may be cancelled up to five days prior to the programme

Date/Day: December 3-4, 2026,

Thursday & Friday

Time: 09:45 AM to 05:45 PM

Venue: CAFRAL Building,
Kirti College Road,
Opp Kirti College
Dadar (West),
Mumbai- 400028

Type: Non-Residential

Fees: ₹ 40,000 + 18% GST

For Nomination Form please visit our site www.cafral.org.in

Last date for filing nomination November 27, 2026

Participant Profile

- Heads, senior / middle-level executives of Banks (including SFBs, RRBs and UCBs), NBFCs (all 4 layers) and FIs working in the areas of KYC – AML Implementation and Compliance, Fraud Risk Management, and Risk Management Department.
- Heads & Senior officers of Compliance Department / Group, Internal Audit and Inspection, Enterprise Risk Management and Vigilance and Third Party/ Outsourcing Risk Management
- Senior officers of RBI and SEBI dealing with regulation and supervision relating to KYC, AML, financial frauds and financial crimes, etc.

For more Programme details, contact:

Kamal P Patnaik

Senior Program Director

Mob: +91 98203 30847

Email: kamal.patnaik@cafral.org.in

Charulatha Ramesha

Program and Relationship Manager

Mob: +91 91360 65827

Email:

charulatha.ramesha@cafral.org.in

Centre for Advanced Financial Research and Learning (CAFRAL)

10/6, Chandrakant Dhuru Wadi, Kirti College Road

Prabhadevi, Mumbai – 400028

Mumbai – 400 028